

Electronic Acknowledgement Receipt

EFS ID:	1551094
Application Number:	10808820
International Application Number:	
Confirmation Number:	7594
Title of Invention:	Method and system for effecting straight-through-processing of trades of various financial instruments
First Named Inventor/Applicant Name:	James Worden Toffey
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Filer:	David Lewis Schaeffer
Filer Authorized By:	
Attorney Docket Number:	649087/004 SPB/RE
Receipt Date:	28-FEB-2007
Filing Date:	25-MAR-2004
Time Stamp:	11:39:04
Application Type:	Utility

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 830
RAM confirmation Number	1782

Deposit Account	194709
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	Petition.pdf	73256	no	1
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	FeeTransmittal.pdf	90717	no	1
Warnings:					
Information:					
3		Amendment.pdf	818734	yes	21
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Drawings		2	2	
	Claims		3	13	
	Applicant Arguments/Remarks Made in an Amendment		14	21	
Warnings:					
Information:					
4	Drawings	ReplacementDrawings.pdf	4249979	no	36
Warnings:					
Information:					
5	Information Disclosure Statement (IDS) Filed	IDS.pdf	237796	no	4
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					

6	Fee Worksheet (PTO-06)	fee-info.pdf	8510	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			5478992		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					